

FW 2815 \$

PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/063,822
	Filing Date	05/16/2002
	First Named Inventor	Shu-Wen Sung
	Art Unit	2815
	Examiner Name	NGUYEN, JOSEPH H
	Attorney Docket Number	KYCP0005USA
Total Number of Pages in This Submission		12

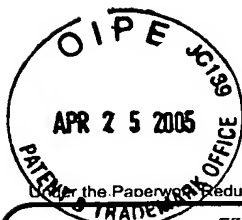
ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. A statement from the inventors to be added 2. A new combined declaration and power of attorney of new inventors 3. The written consent of the assignee 4. A supplemental application data sheet
<div>Remarks</div> <div>Request to Correct Inventorship</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	APR 22 2005	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (12-04)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known

Application Number	10/063,822
Filing Date	05/16/2002
First Named Inventor	Shu-Wen Sung
Examiner Name	HNGUYEN, JOSEPH H
Art Unit	2815
Attorney Docket No.	KYCP0005USA

METHOD OF PAYMENT (check all that apply)

- ☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
- ☒ Deposit Account Deposit Account Number: 50-3105 Deposit Account Name: North America Intellectual Property Corp.
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
- ☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	x	=				
HP = highest number of total claims paid for, if greater than 20						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			
- 3 or HP =	x	=				
HP = highest number of independent claims paid for, if greater than 3						

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: The processing fee set forth in 37 CFR 1.17(i)

Fees Paid (\$)

130.00

SUBMITTED BY

Signature	<i>Winston Hsu</i>	Registration No. (Attorney/Agent) 41,526	Telephone 302-729-1562
Name (Print/Type)	Winston Hsu	Date	APR 22 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Shu-Wen Sung et al.

Appl. No.: 10/063,822

Filing Date: 05/16/2002

Examiner: NGUYEN, JOSEPH H

Art Unit: 2815

Docket No.: KYCP0005USA

10 Title: LIGHT EMITTING DIODE HAVING AN INSULATING SUBSTRATE

To: Commissioner for Patents

P.O. BOX 1450

Alexandria, VA 22313-1450

15

Subject: Request to Correct Inventorship

Dear Sir,

20 I hereby request that Chen Ou and Chuan-Ming Chang should be added as the inventors of the above-identified application under 37 CFR 1.48(a). The following documents are attached with this request:

- 25
- (1) A statement from Chen Ou and Chuan-Ming Chang as the inventors to be added;
 - (2) A written consent from the assignee; and
 - (3) A new declaration document from the new inventors.
 - (4) A supplemental application data sheet.

04/27/2005 WALI11 00000059 503105 10063822

01 FC:1464 130.00 DA

Respectfully submitted,

Winston Hsu

Date: APR 22 2005

Winston Hsu, Patent Agent No. 41,526

5 P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

e-mail : winstonhsu@naipo.com

(Please contact me by voice mail if you need a telephone
10 communication.)



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Shu-Wen SUNG et al.

5 Appl. No.: 10/063,822 Filing Date: 05/16/2002

Examiner: NGUYEN, JOSEPH H Art Unit: 2815

Docket No.: KYCP0005USA

Title: LIGHT EMITTING DIODE HAVING AN INSULATING SUBSTRATE

Subject: Correction of Inventorship

10 - Statement from the Inventors to be Added

Dear Sir:

I hereby state that I am one of the actual inventors of the above-identified patent application. The error that I was
15 missed as one of the actual inventors in the original Declaration and Assignment document occurred without any deceptive intention. Your permission to correct the inventorship of the above-identified application is hereby requested.

Sincerely,

20

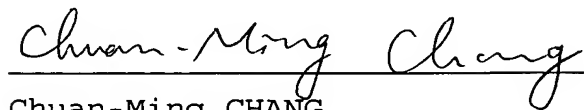


Date: Apr. 18, 2005

Chen OU

Address: No. 5, Li-Hsin Rd. V, Science-Based Industrial Park,
HsinChu 300, Taiwan, R.O.C.

25 Citizen of Taiwan, R.O.C.



Date: Apr. 18, 2005

Chuan-Ming CHANG

Address: No. 5, Li-Hsin Rd. V, Science-Based Industrial Park,
30 HsinChu 300, Taiwan, R.O.C.

Citizen of Taiwan, R.O.C.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant(s): Shu-Wen SUNG et al.

Appl. No.: 10/063,822 Filing Date: 05/16/2002

Examiner: NGUYEN, JOSEPH H Art Unit: 2815

Docket No.: KYCP0005USA

10 Title: LIGHT EMITTING DIODE HAVING AN INSULATING SUBSTRATE


Subject: **Correction of Inventorship**

- Written Consent of the Assignee

15 With the authority to act on behalf of the assignee of the
above-identified patent application, namely EPISTAR
CORPORATION, located at the address: 48, Park Avenue II,
Science-Based Industrial Park, Hsin-Chu City 300, Taiwan,
R.O.C., I agree that Chen OU and Chuan-Ming CHANG being added
20 as the actual inventors of the above-identified application.

For and on behalf of EPISTAR CORPORATION.

25


Printed Name: Bing-Jye LEE

Title: President

Date: April 18, 2005



PATENT
Docket No KYCP0005USA

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

I believe I am the sole (if only one name appears below), or joint (if more than one name appears), original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

"LIGHT EMITTING DIODE HAVING AN INSULATING SUBSTRATE"

_____ The specification for the above entitled invention is filed herewith.
+ _____ The specification for the above entitled invention was filed previously
with application serial number: 10/063,822 Filing Date: 05/16/2002

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above:

I acknowledge the duty to disclose information which is material to the patentability of the invention disclosed in this application in accordance with Title 37, Code of Federal Regulations, Section 1.56 (a). I further acknowledge the duty in any continuation-in-part application to disclose to the Patent and Trademark Office all information known to be material to the patentability of the invention disclosed in this application, as defined in 1.56, which became available to me between the filing date of the prior application and the filing date of this application.

PRIORITY CLAIM

_____ There is no claim of priority
+ _____ Claim of priority is based on the following:
Filing No. in Taiwan, R.O.C = 090113284
Filing Date in Taiwan, R.O.C = 2001/5/30

POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint the practitioners associated with the Customer Number: 027765 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to the address associated with the above-mentioned Customer Number.

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued hereon.

(請發明人務必簽署日期)

Date: Apr. 18, 2005

Chen Ou

Printed Name:

Chen Ou

Post Office Address:
and Residence

No. 5, Li-Hsin Rd. V, Science-Based Industrial Park,
HsinChu, Taiwan, R.O.C.

Citizen of:

R.O.C.

Date: Apr. 18, 2005

Chuan-Ming Chang

Printed Name:

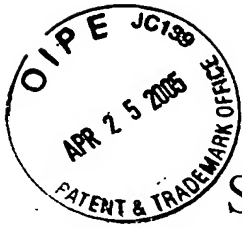
Chuan-Ming Chang

Post Office Address:
and Residence

No. 5, Li-Hsin Rd. V, Science-Based Industrial Park,
HsinChu, Taiwan, R.O.C.

Citizen of:

R.O.C.



SUPPLEMENTAL APPLICATION DATA SHEET

Electronic Version 0.0.11

Stylesheet Version: 1.0

Attorney Docket Number: **KYCP0005USA**

Publication Filing Type: **new-utility**

Application Type: **utility**

Title of Invention: **LIGHT EMITTING DIODE HAVING AN INSULATING
SUBSTRATE**

Customer Number Attorney: 027765

027765

Customer Number Correspondence Address: 027765

027765

Foreign Priority:

090113284 TW 2001-05-30 Priority Claimed

INVENTOR(s)

:

Primary
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Family Name: Sung

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Residence Country: TW

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Hsin-Chu City , TW

Currently added inventor I

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Family Name: Ou
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Residence Country: TW
Address: No.5, Li-Hsin Rd. V, Science-Based Industrial Park,
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Hsin-Chu City , TW

Currently added inventor II

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Family Name: CHANG
Residence City: Hsin-Chu City

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Hsin-Chu City, TW